

# Bounded Branching and Modalities in Non-Deterministic Planning

Blai Bonet

Departamento de Computación

Universidad Simón Bolívar

Caracas, Venezuela

# Introduction

- We consider variations on the task of deciding the existence of solutions for non-deterministic planning problems:
  - Bounds in the number of branch points in a plan
  - Extensions of the description language with modal formulae
- The first applies to planning problems with complete and partial information; the first treatment of this problem appears to be [Meuleau & Smith, 2003]
- The second variation only applies to the case of planning problems with partial information

# Goals of This Talk

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- Make an overview of (some) known results about complexity of planning
- Motivate the relevance of proposed variations
- Make an overview of the new complexity results
- Won't go over proofs, yet will give some hints

# Outline

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- Planning with Complete Information
  - Classical (deterministic) planning
  - Non-deterministic planning (aka contingent planning)
  - Conformant and plans with bounded branching
- Planning with Partial Information
  - Contingent planning
  - Conformant and plans with bounded branching
- Two Special Cases

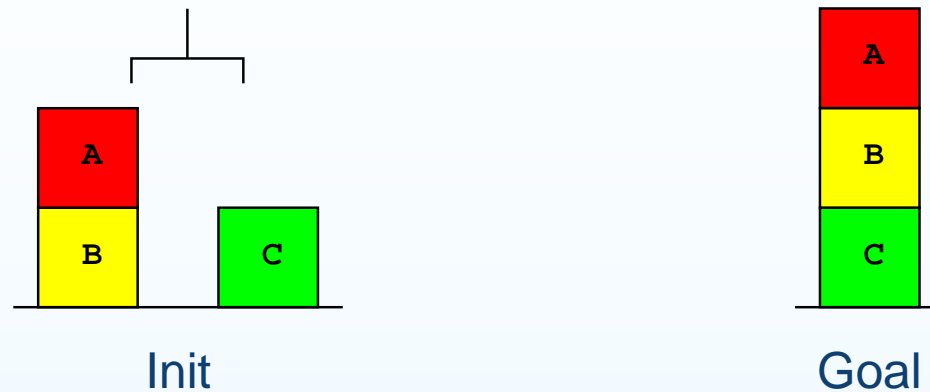
# Background: Deterministic Models

- Understood in terms of:
  - a discrete and finite state space  $S$
  - an initial state  $s_0 \in S$
  - a non-empty set of goal states  $G \subseteq S$
  - actions  $A(s) \subseteq A$  applicable in each state  $s$
  - a function that maps states and actions into states  $f(a, s) \in S$
- **Solutions:** sequences  $(a_0, \dots, a_n)$  of actions that “transform”  $s_0$  into a goal state

# Background: Description Language

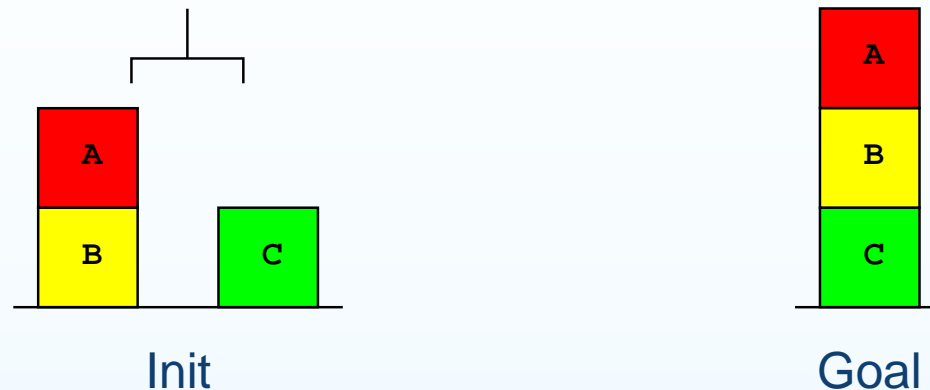
- Propositional language used to **compactly** describe the transition function and the applicable actions
- States are valuations to propositional symbols
- We use an action language similar to that in [Rintanen, 2004]:
  - Actions are pairs  $\langle prec, effect \rangle$
  - $prec$  is a propositional formula used to define  $A(s)$
  - Effects include atomic effects, conditional effects and conjunctions
- Initial state defined by the set  $I$  of propositions that hold true
- Goal states defined by a propositional formula  $\Phi_G$

## Example – Blocksworld (Deterministic)



- Propositions:
  - Blocks' positions:  $\{\text{on-table}(B), \text{on}(A, B), \text{on-table}(C)\}$
  - Others:  $\{\text{clear}(A), \text{clear}(C), \text{empty-hand}\}$

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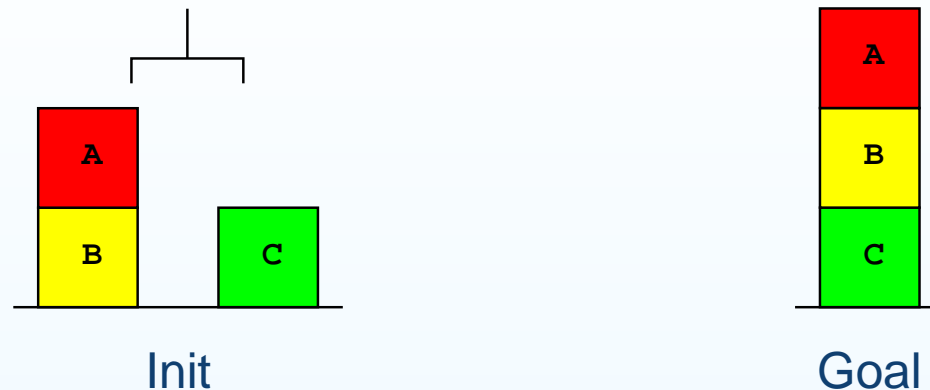
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- Others:  $\{clear(A), clear(C), empty\text{-}hand\}$

- Actions:

- **unstack(A,B):**  
 $\langle empty\text{-}hand \wedge clear(A) \wedge on(A,B), holding(A) \wedge clear(B) \wedge \neg on(A,B) \rangle$
- **pick(A):**  $\langle empty\text{-}hand \wedge clear(A) \wedge on\text{-}table(A), holding(A) \wedge \neg on\text{-}table(A) \rangle$
- **stack(A,B):**  $\langle holding(A) \wedge clear(B), empty\text{-}hand \wedge on(A,B) \wedge \neg holding(A) \rangle$
- **drop(A):**  $\langle holding(A), empty\text{-}hand \wedge on\text{-}table(A) \wedge \neg holding(A) \rangle$



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  - **stack(A,B)**:  $\langle holding(A) \wedge clear(B), empty\text{-}hand \wedge on(A,B) \wedge \neg holding(A) \rangle$
  - **drop(A)**:  $\langle holding(A), empty\text{-}hand \wedge on\text{-}table(A) \wedge \neg holding(A) \rangle$
- Plan:  $\langle unstack(A,B), drop(A), pick(B), stack(B,C), pick(A), stack(A,B) \rangle$

# Non-Deterministic Planning with Complete Information

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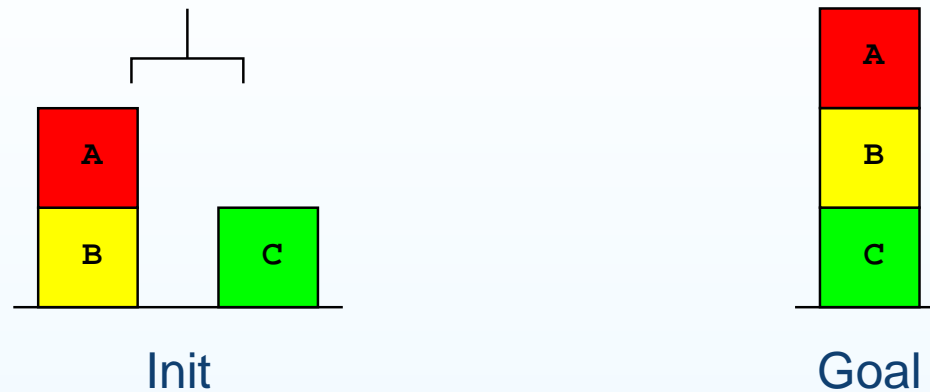
- Non-deterministic planning deals with problems where actions might have more than one outcome (non-deterministic actions)
- After the application of an action, the agent **observes the state** of the system and chooses next action
- This is a **branch point** in the plan!
- Another possibility is to apply a **sequence of actions blindly**, make a single observation at the end, and then choose next sequence of actions
- This is also a branch point in the plan!
- It is a natural to ask whether there exist plans of bounded branching

# Non-Deterministic Models

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- As deterministic models but transition function maps states and actions into **sets of states**  $F(a, s) \subseteq S$
- There can be more than one initial state described by formula  $\Phi_I$
- Description language extended with non-deterministic effects
- Solutions cannot be sequences of actions!
- Solutions are tree-like structures called **contingent plans**

## Example – Blocksworld (Non-Deterministic)



- New Action:

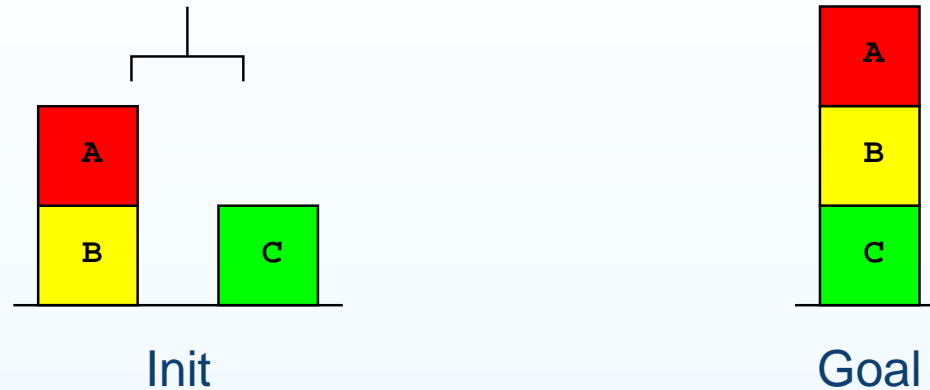
- **unstack(A,B):**

$\langle \text{empty-hand} \wedge \text{clear}(A) \wedge \text{on}(A,B),$

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- Contingent Plan:

$\text{unstack}(A,B) \left\{ \begin{array}{l} \text{drop}(A), \text{pick}(B), \text{stack}(B,C), \text{pick}(A), \text{stack}(A,B) \\ \text{pick}(B), \text{stack}(B,C), \text{pick}(A), \text{stack}(A,B) \end{array} \right.$

# Complexity of Deterministic and Non-Deterministic Planning

- PLAN-DET is PSPACE-complete [Bylander, 1994]
- Deciding existence of solution for a contingent problem with full observability (i.e. PLAN-FO-CONT) is EXPTIME-complete
- Shown by [Rintanen, 2004] using Alternating TMs with polynomial space bound

Problem	Complete for	Reference
PLAN-DET	PSPACE	[Bylander, 1994]
PLAN-FO-CONT	EXPTIME	[Rintanen, 2004]

# Complexity of Deterministic Planning

- The existence of a plan can be decided with the non-deterministic program:
  1. Let  $counter := 0$
  2. Let  $state := I$
  3. If  $state \models \Phi_G$ , then ACCEPT
  4. Choose applicable action  $a$  in  $state$
  5. Let  $state := f(a, state)$
  6. Let  $counter := counter + 1$
  7. If  $counter = 2^{|P|}$ , then REJECT
  8. Goto 3
- Therefore, PLAN-DET is in NPSPACE = PSPACE
- The fact that PLAN-DET is PSPACE-hard was shown in [Bylander, 1994] with a direct simulation of DTMs with polynomial space bound

# Conformant Planning

- Let's consider actions of the form:

- **drop(A)**:  $\langle true, (\text{holding}(A) \triangleright \text{empty-hand} \wedge \text{on-table}(A) \wedge \neg \text{holding}(A)) \rangle$

in which the **precondition** has been moved into a **conditional effect**



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in which the **precondition** has been moved into a **conditional effect**

- It's not hard to show that the plan:

`pick(A), drop(A), pick(B), drop(B), pick(C), drop(C), pick(A), drop(A),`

`pick(B), drop(B), pick(C), drop(C), pick(B), stack(B,C), pick(A), stack(A,B)`

achieves the goal (i.e. A on B on C) **no matter what's the initial situation**

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- This plan is called **conformant** [Goldman & Boddy, 1996; Smith & Weld, 1998]

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achieves the goal (i.e. A on B on C) **no matter what's the initial situation**

- This plan is called **conformant** [Goldman & Boddy, 1996; Smith & Weld, 1998]
- **A conformant plan is a no-branch plan for a non-deterministic planning problem with full observability!!**

# Complexity of Conformant Planning

- Checking the existence of a conformant plan (i.e. PLAN-FO-CONF) is EXPSPACE-complete
- Shown by [Haslum & Jonsson, 1999] using Regular Expressions with Exponentiation and Non-deterministic Finite Automata with Counters

Problem	Complete for	Reference
PLAN-DET	PSPACE	[Bylander, 1994]
PLAN-FO-CONT	EXPTIME	[Rintanen, 2004]
PLAN-FO-CONF	EXPSPACE	[Haslum & Jonsson, 1999]

# Complexity of Conformant Planning

- The existence of a plan can be decided with the non-deterministic program:
  1. Let  $counter := 0$
  2. Let  $bel := \{s : s \models \Phi_I\}$
  3. If  $s \models \Phi_G$  for all  $s \in bel$ , then ACCEPT
  4. Choose applicable action  $a$  in  $bel$
  5. Let  $bel := \cup\{F(a, s) : s \in bel\}$
  6. Let  $counter := counter + 1$
  7. If  $counter = 2^{2^{|P|}}$ , then REJECT
  8. Goto 3
- Therefore, PLAN-FO-CONF is in NEXPSPACE = EXPSPACE
- The fact that PLAN-FO-CONF is EXPPSPACE-hard was shown in [Haslum & Jonsson, 1999]

# Haslum & Jonsson Proof (Sketch)

- Let  $M$  be a non-deterministic TM with exponential space bound and  $w \in \Sigma^*$
- Build **Regular Expression with Exponentiation (REE)**  $\alpha = \alpha(w)$  such that

$$\alpha = \Sigma^* \iff w \notin L(M)$$

- Therefore,  $\alpha = \Sigma^*$  is EXPSPACE-complete [Hopcroft & Ullman, 1979]

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- Therefore,  $\alpha = \Sigma^*$  is EXPSPACE-complete [Hopcroft & Ullman, 1979]
- Build NFAC  $N$  such that  $\sigma \in \alpha$  iff  $\sigma \in N$  ( $|N|$  is polynomial in  $|\alpha|$ )
- Build a conformant planning problem  $P$  such that:

$$P \text{ has solution} \iff \exists \sigma : \sigma \notin L(N)$$

$$\iff \exists \sigma : \sigma \notin \alpha$$

$$\iff \alpha \neq \Sigma^*$$

- This shows that PLAN-FO-CONF is co-EXPSPACE-hard = EXPSPACE-hard

# Plans of Bounded Branching

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- Contingent and conformant planning are **extreme** points of a discrete yet infinite range of solution forms:
  - Conformant = No branch
  - Contingent = Unbounded branch
- In the middle, we can think of plans with no more than  $k$  branches



# Plans of Bounded Branching

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  - Conformant = No branch
  - Contingent = Unbounded branch
- In the middle, we can think of plans with no more than  $k$  branches
- Checking the existence of a contingent plan with at most  $k$  branches (i.e. PLAN-FO-CONT- $k$ ) is EXPSPACE-complete
- Proof similar to Haslum & Jonsson's for conformant planning

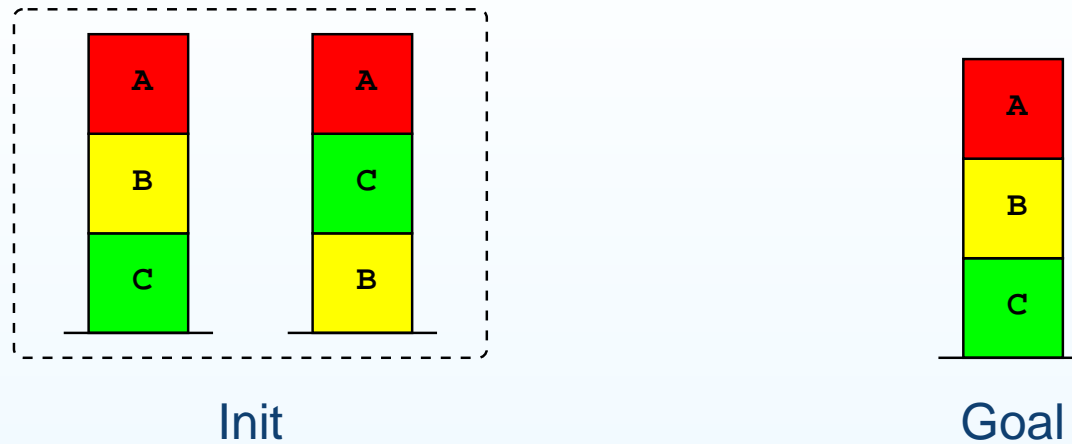
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PLAN-FO-CONF	EXPSPACE	[Haslum & Jonsson, 1999]
PLAN-FO-CONT- $k$	EXPSPACE	<b>New</b>

# Problems with Partial Information

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- Arise when the agent **cannot fully observe the state of the system**
- The agent receives some information after the execution of an action:
  - Full (the state is revealed)
  - Partial (e.g. the truth value of a proposition is revealed)
  - Null
- After the feedback is received, the agent chooses the next action
- This is a branch-point in the plan!

# Example – Blocksworld (Partial Information)



- Observables:  $Z = \{\text{clear}(A), \text{clear}(B), \text{clear}(C)\}$
- Current Knowledge: Block A is clear
- Contingent Plan:

$\text{pick}(A) \left\{ \begin{array}{l} \text{stack}(A, B) \\ \text{drop}(A), \text{pick}(C), \text{drop}(C), \text{pick}(B), \text{stack}(B, C), \text{pick}(A), \text{stack}(A, B) \end{array} \right.$

## Another Example – Game of Mastermind

---

- A simple game played by a codemaker and codebreaker:
  - Codemaker chooses a **secret code** at the beginning
  - Codebreaker must **discover** the code by making **guesses**
- Each guess answered with two tokens of information:
  - the number of matches in the guess
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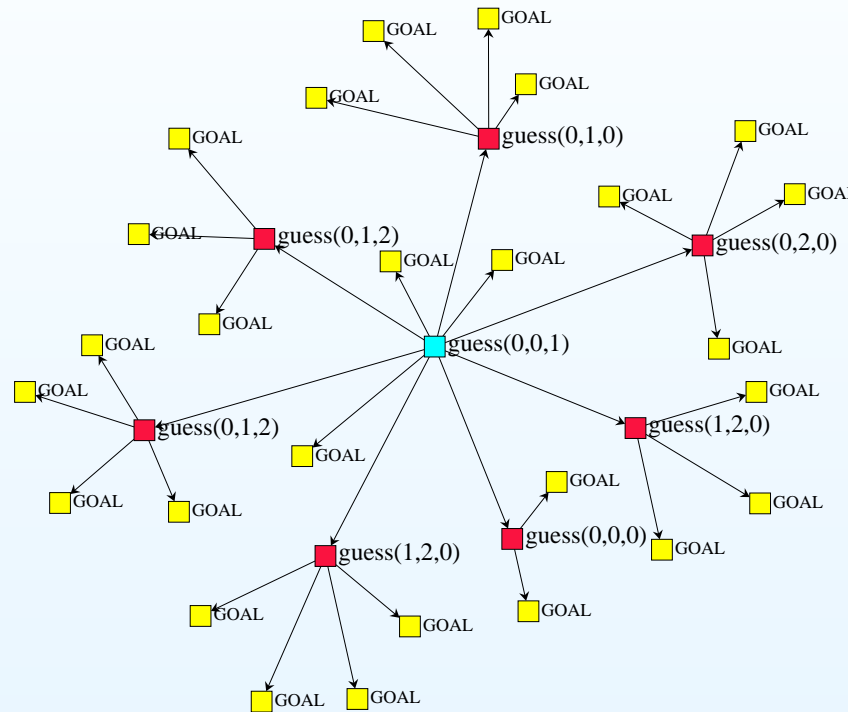
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- Each guess answered with two tokens of information:
  - the number of matches in the guess
  - the number of “near” matches in the guess
- The dynamics of the game can be modeled as a non-deterministic planning problem with partial information (the secret code is unknown)
- However, the **goal of the game** (which is to know the secret code) **cannot be expressed in the language**
- A **modal formula** is needed to represent such a goal!!

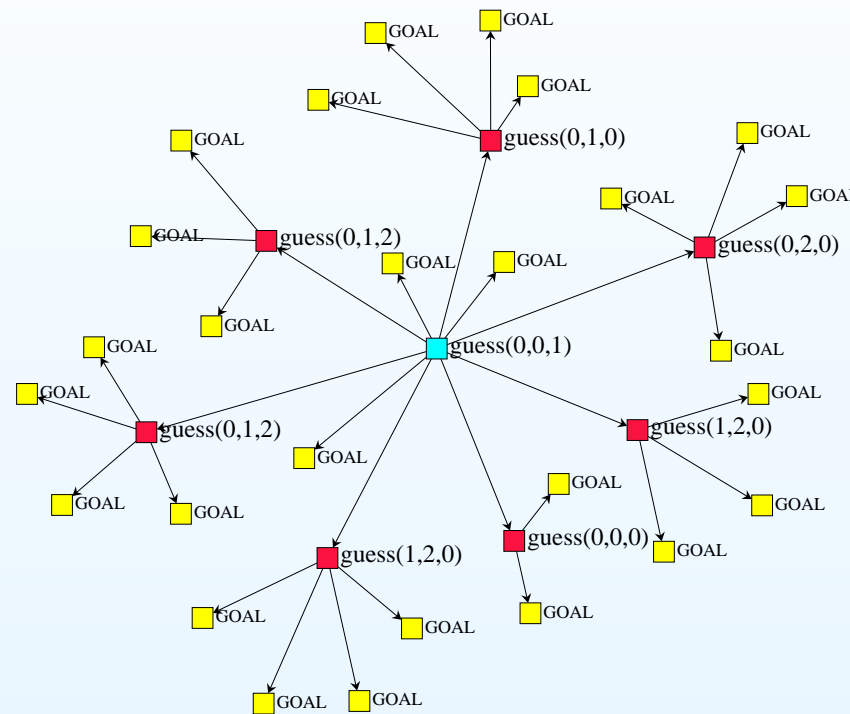
# Mastermind: 3 colors, 3 pegs

- Contingent Plan:



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- Contingent Plan:



- We can also compute a **conformant plan** for this task!
- The following plan discovers the secret code no matter what's its value

$\text{guess}(2,0,0)$  ,  $\text{guess}(2,1,0)$  ,  $\text{guess}(2,2,1)$  .



# Complexity of Planning with Partial Information

- Checking the existence of a contingent plan (i.e. PLAN-PO-CONT) is 2EXPTIME-complete
- Shown by [Rintanen, 2004] using Alternating TMs with **exponential** space bound
- Checking the existence of conformant plans for partially observable problems with modal formulae is 2EXPSPACE-complete
- Shown using automatas with counters of double exponential capacity
- Checking the existence of plans with bounded number of branches has same complexity of the conformant task

Problem	Complete for	Reference
PLAN-PO-CONT	2EXPTIME	[Rintanen, 2004]
PLAN-PO-CONF	2EXPSPACE	<b>New</b>
PLAN-PO-CONT- $k$	2EXPSPACE	<b>New</b>

# Complexity of Conformant Planning with Partial Information

- The existence of a plan can be decided with the non-deterministic program:
  1. Let  $counter := 0$
  2. Let  $B := \{s : s \models \Phi_I\}$
  3. If  $s \models \Phi_G$  for all  $s \in b$  and  $b \in B$ , then ACCEPT
  4. Choose applicable action  $a$  in  $B$
  5. Let  $B := \cup_{b \in B} \cup_z \{b_a^z\}$
  6. Let  $counter := counter + 1$
  7. If  $counter = 2^{2^{|P|}}$ , then REJECT
  8. Goto 3
- Therefore, PLAN-PO-CONF is in  $N2EXPSPACE = 2EXPSPACE$

## Lower Bound (Hardness)

- Let  $M$  be an NTM with **double** exponential space bound and  $w \in \Sigma^*$
- Build REE  $\alpha$  such that  $\alpha = \Sigma^*$  iff  $w \notin L(M)$  (size of  $\alpha$  exponential)
- Build NFAC  $N$  (size of  $N$  w/o counting counter capacity is polynomial)
- Build then a conformant planning problem  $P$  such that  $P$  has a solution iff  $\alpha \neq \Sigma^*$
- In order to get the reduction, need to show how to compactly encode double-exponential capacity counters with a planning problem of polynomial size

## Encoding of Counters (Idea)

- The value of counter  $c_{2^n-1} \cdots c_0$  of double exponential capacity can be represented as the set of **bits equal to 1**; i.e.  $\{i : c_i = 1\}$
- The size of such set might be exponential, however each member of the set can be encoded as a subset from set of polynomial-number of bits!

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- Examples:

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$$129 = 10000001 = \{0, 7\} = \{\{\}, \{0, 1, 2\}\}$$

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- Multiple counters can be encoded using cross-products of propositional symbols

## Two Special Cases

- Existence of plans of bounded branching of **polynomial** length (either full or partial observable case):
  - Can be done with QBFs!
  - Indeed, checking the existence of a plan with at most  $k$  branches is in  $\Sigma_{2k+4}^P$

## Two Special Cases

- Existence of plans of bounded branching of **polynomial** length (either full or partial observable case):
  - Can be done with QBFs!
  - Indeed, checking the existence of a plan with at most  $k$  branches is in  $\Sigma_{2k+4}^P$
- Existence of conformant plans for partially observable problems **without** modal formulae is EXPSPACE-complete



# Summary

- Considered two variations on the existence of plans:
  - Plans of bounded branching for full and partially observable problems
  - Extension of description language with modalities for planning with incomplete information
- Analyzed and derived tight bounds on the complexity of novel decision problems